# Boolos' Analytical completeness Kripke Models

Konstantinos Papafilippou

Ghent University

January 21, 2021

- Formulas of arithmetic can be coded within the language of arithmetic. Given a formula  $\phi$ , let  $^{r}\phi^{1}$  be its code.
- Prov(x) is the  $\Sigma_1$ -formula stating that x is the code of a formula that is provable in PA.
- Prf(y, x) is the  $\Delta_1$ -formula stating that x is the code of a formula y codes a proof of it in PA.

#### Notation:

We will write:

- Prov( $\phi$ ) instead of Prov( $^{r}\phi$ );
- $Prf(y, \phi)$  instead of  $Prf(y, \lceil \phi \rceil)$ .

### Löb's derivability conditions

- i.  $PA \vdash \phi \Rightarrow PA \vdash Prov(\phi)$ :
- ii.  $PA \vdash Prov(\phi \rightarrow \psi) \rightarrow (Prov(\phi) \rightarrow Prov(\psi));$
- iii.  $PA \vdash Prov(\phi) \rightarrow Prov(Prov(\phi))$ .

#### Theorem

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For every  $\Sigma_1$ -formula  $\phi$ ,

$$\mathsf{PA} \vdash \phi \to \mathsf{Prov}(\phi).$$

#### Löb's Theorem

 $\mathsf{PA} \vdash \mathsf{Prov}(\phi) \to \phi \Rightarrow \mathsf{PA} \vdash \phi$ .

# Gödel - Löb modal logic

GL is a propositional modal logic with the unary modality  $\square$ .

Axioms: Boolean tautologies

- 1.  $\Box(\varphi \to \psi) \to (\Box \varphi \to \Box \psi);$
- 2.  $\Box \varphi \rightarrow \Box \Box \varphi$ ;
- 3.  $\Box(\Box\varphi\to\varphi)\to\Box\varphi$ .

Inference rules: • Modus Ponens;

• Necessitation:  $\frac{\varphi}{\Box \varphi}$  .

# Solovay's Theorem for GL

An interpretation/realization is a function  $(\cdot)^*$  that maps propositional variables to formulas of arithmetic. It can be naturally expanded to a function from all modal formulas:

- $(\phi \to \psi)^* := (\phi)^* \to (\psi)^*$ ;
- $(\neg \phi)^* := \neg (\phi)^*$ ;
- $(\Box \phi)^* := \operatorname{Prov}((\phi)^*).$

### Solovay's Theorem

For every modal formula  $\phi$ :

 $GL \vdash \phi \iff PA \vdash (\phi)^*$ , for every realization  $(\cdot)^*$ .

## **Analysis**

- In Second order Arithmetic we add set variables and the membership relation ∈.
- Analysis is the theory of Second order Arithmetic extending PA with the axioms:

IND: 
$$\forall X \ (0 \in X \land \forall y \ (y \in X \rightarrow y + 1 \in X)) \rightarrow \forall y \ (y \in X);$$
  
C:  $\exists X \forall y \ (y \in X \leftrightarrow \phi(y)),$  for every formula  $\phi$ .

• We write  $\vdash \phi$  to denote that  $\phi$  is provable in Analysis.

# Second order Quantification in the arithmetical hierarchy

- $\Delta_0^0$ -formulas are those with only bounded quantification;
- $\Sigma_{n+1}^0$ -formulas are those equivalent to a formula  $\exists x \, \phi(x)$  where  $\phi(x)$  is  $\Pi_n^0$ ;
- $\Pi_{n+1}^0$ -formulas are those equivalent to a formula  $\exists x \, \phi(x)$  where  $\phi(x)$  is  $\Sigma_n^0$ ;

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- $\Pi_{n+1}^0$ -formulas are those equivalent to a formula  $\exists x \, \phi(x)$  where  $\phi(x)$  is  $\Sigma_n^0$ ;
- $\Pi_0^1$ -formulas are those that are  $\Pi_n^0$  for some n;
- $\Sigma_{n+1}^1$ -formulas are those equivalent to a formula  $Q_1x_1 \dots Q_mx_m \exists X \phi(x)$  where  $\phi(x)$  is  $\Pi_n^1$ ;
- $\Pi^1_{n+1}$ -formulas are those equivalent to a formula  $Q_1x_1 \dots Q_mx_m \forall X \phi(x)$  where  $\phi(x)$  is  $\Sigma^1_n$ .

Here the  $Q_i$  are one of the  $\exists$  and  $\forall$ .

### $\omega$ -Provability

- $\omega \vdash \phi$  iff  $\phi$  belongs to the closure of the class of the axioms of analysis under the usual finitary rules and under the  $\omega$ -rule.
- $\omega$ -rule:  $\frac{\phi(\underline{0}), \phi(\underline{1}), \dots}{\forall x \phi(x)}$
- $\omega$ -Prov denotes the class of Godel numbers of  $\omega$ -provable formulas;
- Prov $_{\omega}(x)$  denotes the  $\Pi^1_1$ -formula of analysis defining  $\omega$ -Prov;

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- $\omega$ -Prov denotes the class of Godel numbers of  $\omega$ -provable formulas;
- Prov<sub> $\omega$ </sub>(x) denotes the  $\Pi_1^1$ -formula of analysis defining  $\omega$ -Prov;
- $Con(x) := \neg Prov(\ulcorner \neg \urcorner x);$
- $Con_{\omega}(x) := \neg Prov_{\omega}(\ulcorner \neg \urcorner x).$

## Japaridze Polymodal Logic

GLP<sub>2</sub> is the propositional modal logic with two unary modalities [0] and [1].

Axioms: Boolean tautologies

- Inference rules: Modus Ponens:
  - Necessitation:  $\frac{\varphi}{[i]\varphi}$ , for i = 0, 1.

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, for  $i = 0, 1$ ;

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J1. 
$$[0]\varphi \rightarrow [1]\varphi$$
;

J2. 
$$\langle 0 \rangle \varphi \rightarrow [1] \langle 0 \rangle \varphi$$
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Inference rules: • Modus Ponens:

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Where  $\langle i \rangle \phi$  denotes  $\neg [i] \neg \phi$ .

## Solovay in Analysis

We extend the notion of a realization as before to include all modal formulas in the language with the two unary modalities:

- $([0]\phi)^* = \text{Prov}(\phi^*);$
- $([1]\phi)^* = \mathsf{Prov}_{\omega}(\phi^*).$

#### **Theorem**

For every modal formula  $\phi$ :

 $GLP_2 \vdash \phi \iff \vdash (\phi)^*$ , for every realization  $(\cdot)^*$ .

Analytical Soundness

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### Löb's derivability conditions

- i.  $\vdash \phi \Rightarrow \vdash \mathsf{Prov}_{\omega}(\phi)$ ;
- ii.  $\vdash \mathsf{Prov}_{\omega}(\phi \to \psi) \to (\mathsf{Prov}_{\omega}(\phi) \to \mathsf{Prov}_{\omega}(\psi));$
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#### Lemma

$$\vdash \neg \operatorname{\mathsf{Prov}}(\phi) \to \operatorname{\mathsf{Prov}}_{\omega} (\neg \operatorname{\mathsf{Prov}}(\phi)).$$

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$$\vdash \neg \operatorname{\mathsf{Prov}}(\phi) \to \operatorname{\mathsf{Prov}}_{\omega} (\neg \operatorname{\mathsf{Prov}}(\phi)).$$

### Proof Sketch:

Formalize in Analysis:

$$\frac{\neg \operatorname{Prf}(0,\phi), \neg \operatorname{Prf}(1,\phi), \dots}{\forall x \neg \operatorname{Prf}(x,\phi)}$$

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Analytical Soundness

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Theorem (Steven Orey; 1956)

For every  $\Pi_1^1$ -formula  $\phi$ ,

 $\vdash \phi \rightarrow \mathsf{Prov}_{\omega}(\phi)$ .

# $\Pi_1^1$ -completeness

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For every  $\Pi_1^1$ -formula  $\phi$ ,

$$\vdash \phi \rightarrow \mathsf{Prov}_{\omega}(\phi).$$

#### **Theorem**

Every  $\Pi_1^1$  formula of analysis is equivalent to a formula of the form:

$$\forall f \exists x \ R(\overline{f}(x));$$

- R defines a primitive recursive relation;
- $\overline{f}(x)$  denotes the code of the sequence  $\langle f(0), \ldots, f(x-1) \rangle$ .

 $Sec = \{s : s \text{ codes a finite sequence and } \omega \vdash \forall f \exists x \ R(s * \overline{f}(x))\}.$ 

Analytical Soundness

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### Lemma

If R(s), then  $s \in Sec$ .

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Analytical Soundness

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Assume R(s), then  $\vdash R(s)$  and so  $\vdash \forall f \ R(s * \overline{f}(0))$ .

Analytical Soundness

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#### Lemma

If for every i it holds  $s * i \in Sec$ , then  $s \in Sec$ .

# Lemmata we will use to prove $\Pi_1^1$ -completeness

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#### Lemma

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### Proof:

If  $\omega \vdash \forall f \exists x \ R(s * i * \overline{f}(x))$  for every i, thus

$$\frac{\forall f \exists x \ R(s*0*\overline{f}(x)), \forall f \exists x \ R(s*1*\overline{f}(x)), \dots}{\forall y \forall f \exists x \ R(s*y*\overline{f}(x))}$$

Analytical Soundness

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Suppose that  $\langle \ \rangle \not\in Sec;$ 

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•  $g(0) = \langle \rangle$ ;

## Proving $\Pi_1^1$ -completeness

Suppose that  $\langle \ \rangle \not\in Sec;$  define the function g as follows:

- $g(0) = \langle \rangle$ ;
- $g(x+1) = \mu i \{g(n) * i \notin Sec\}$ , if  $g(n) * i \notin Sec$  for some i;
- g(x+1) = 0 otherwise.

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• Let  $f(x) = (g(x+1))_x$ ,

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By the previous Lemmata:  $\forall x \ g(x) \notin Sec$ , and so  $\forall x \ \neg R(g(x))$ .

- Let  $f(x) = (g(x+1))_x$ ,
- then  $\forall x \neg R(\overline{f}(x))$ , a contradiction!

## Ignatiev's fragment of GLP<sub>2</sub>

 $I_2$  is the subsystem of  $GLP_2$ :

Axioms: Boolean tautologies

Inference rules: • Modus Ponens:

• Necessitation:  $\frac{\varphi}{[i]\varphi}$ , for i=0,1.

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J2.  $\langle 0 \rangle \varphi \rightarrow [1] \langle 0 \rangle \varphi$ .

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### Beklemishev's $J_2$

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## Kripke Models of I<sub>2</sub>

An  $I_2$ -model M is a quadruple  $\langle W, R_0, R_1, V \rangle$  where,

- W is a finite set;
- $V \subseteq W \times \{\text{sentence letters}\};$
- $R_0, R_1 \subseteq W \times W$  are transitive, irreflexive, and for all  $w, x, y \in W$ :
  - if  $wR_1x$  and  $xR_0y$  then  $wR_0y$ ;
  - if  $wR_1x$  and  $wR_0y$  then  $xR_0y$ .





# Model completeness for $I_2$

The relation ⊨ is defined as per usual with:

- $M, x \models p \text{ iff } xVp$ ;
- $M, x \models [0]\phi$  iff  $M, y \models \phi$  for every y such that  $xR_0y$ ;
- $M, x \models [1]\phi$  iff  $M, y \models \phi$  for every y such that  $xR_1y$ ;

#### **Theorem**

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\mathbf{I}_2 \vdash \phi iff for every \mathbf{I}_2-model M = \langle W, R_0, R_1, V \rangle, and every x \in W, it holds M, x \vDash \phi.
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Where GLP<sub>2</sub> fails:

$$\mathbf{w} \xrightarrow{\mathbf{x}} \mathbf{x}$$

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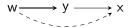
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# Two relations

We define the following two relations:

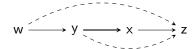
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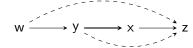
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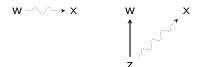
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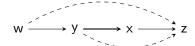
•  $w\hat{R}_0x$  iff  $wR_{\geq 0}x \vee \exists z \ (zR_1w \wedge zR_{\geq 0}x)$ ;



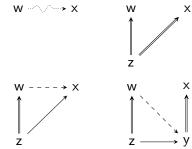
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•  $w\hat{R_0}x$  iff  $wR_{\geq 0}x \vee \exists z (zR_1w \wedge zR_{\geq 0}x)$ ; iff  $wR_{\geq 0}x \vee \exists z (zR_1w \wedge zR_1x)$ .



#### Definition

Given an  $I_2$ -model  $M = \langle W, R_0, R_1, V \rangle$  and  $w \in W$ , the generated submodel of M at w is the model:

$$w \uparrow M = \langle W_w, R_0 \cap W_w^2, R_1 \cap W_w^2, V \uparrow W_w \rangle,$$

where 
$$W_w = \{x \in W : wR_{>0}x\} \cup \{w\}.$$

# $\phi$ -completeness of am $I_2$ -model

# **Definitions**

- $M = \langle W, R_0, R_1, V \rangle$  is  $\phi$ -complete iff for every  $x \in W$ ,  $M, x \models [0]\psi \rightarrow [1]\psi$  for all subsentences  $[0]\psi$  of  $\phi$ .
- $\Delta \phi$  is  $\phi \wedge [0]\phi \wedge [1]\phi \wedge [0][1]\phi$ ;
- $M\phi$  is  $\Lambda\{\Delta([0]\psi \to [1]\psi) : [0]\psi$  is a subsentence of  $\phi\}$ .

### Lemma

 $w \uparrow M$  is  $\phi$ -complete iff  $M, w \models M\phi$ .

Assume  $M = \langle W, R_0, R_1, V \rangle$  is  $\phi$ -complete and let  $N = \langle W, \hat{R_0}, R_1, V \rangle$ . For every subsentence  $\psi$  of  $\phi$  and  $w \in W$ ,

 $M, w \vDash \psi \text{ iff } N, w \vDash \psi.$ 

Assume 
$$M=\langle W,R_0,R_1,V\rangle$$
 is  $\phi$ -complete and let  $N=\langle W,\hat{R_0},R_1,V\rangle$ . For every subsentence  $\psi$  of  $\phi$  and  $w\in W$ ,

$$M, w \vDash \psi \text{ iff } N, w \vDash \psi.$$

# Proof

Assume  $M = \langle W, R_0, R_1, V \rangle$  is  $\phi$ -complete and let  $N = \langle W, \hat{R_0}, R_1, V \rangle$ . For every subsentence  $\psi$  of  $\phi$  and  $w \in W$ ,

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- If wR<sub>1</sub>x
- if  $wR_0vR_1x$
- If  $zR_1w$  and  $zR_1x$

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$$M, w \vDash \psi \text{ iff } N, w \vDash \psi.$$

# Proof

- If  $wR_1x$  then  $M, w \not\models [1]\psi$ ;
- if  $wR_0yR_1x$  then  $M, y \not\models [1]\psi$ ;
- If  $zR_1w$  and  $zR_1x$  then  $M, z \not\models [1]\psi$ ;

Assume 
$$M = \langle W, R_0, R_1, V \rangle$$
 is  $\phi$ -complete and let  $N = \langle W, \hat{R_0}, R_1, V \rangle$ . For every subsentence  $\psi$  of  $\phi$  and  $w \in W$ ,

$$M, w \vDash \psi \text{ iff } N, w \vDash \psi.$$

# Proof

- If  $wR_1x$  then  $M, w \not\models [1]\psi$ ; then  $M, w \not\models [0]\psi$ ;
- if  $wR_0yR_1x$  then  $M, y \not\models [1]\psi$ ; then  $M, y \not\models [0]\psi$ ;
- If  $zR_1w$  and  $zR_1x$  then  $M, z \not\models [1]\psi$ ; then  $M, z \not\models [0]\psi$ ;

Assume  $M = \langle W, R_0, R_1, V \rangle$  is  $\phi$ -complete and let  $N = \langle W, \hat{R_0}, R_1, V \rangle$ . For every subsentence  $\psi$  of  $\phi$  and  $w \in W$ ,

$$M, w \vDash \psi \text{ iff } N, w \vDash \psi.$$

# Proof

Suppose that  $M, w \models [0]\psi$  and  $N, w \not\models [0]\psi$ . So  $N, x \not\models \psi$  for some  $w\hat{R_0}x$  and by the I.H.,  $M, x \not\models \psi$ . Thus not  $wR_0x$ .

- If  $wR_1x$  then  $M, w \not\models [1]\psi$ ; then  $M, w \not\models [0]\psi$ ;
- if  $wR_0yR_1x$  then  $M, y \not\models [1]\psi$ ; then  $M, y \not\models [0]\psi$ ;
- If  $zR_1w$  and  $zR_1x$  then  $M, z \not\models [1]\psi$ ; then  $M, z \not\models [0]\psi$ ;

Thus  $M, a \not\vDash \psi$  for some  $wR_0a$ , a contradiction!

# Thank You

Analytical Soundness